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## PATENTS ONLY

To the Honorable Assistant Commissioner for Patents: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):  
Jungsoh P. Kim; Douglas B. Starr;  
Albert W. Tam; Megan E. Laurance;  
Emil F. Michelotti; Mark D.  
Velligan; Derek R. Latour; Rita E.  
Thomas; Ana Kongpachith; Liana T.  
Sheppard; Moon Young Kim; Thomas W.  
Bruice  
Add'l names of conveying parties  
attached?  
☐ Yes ☒ No

2. Name/address of receiving  
party(ies):

Genelabs Technologies, Inc.  
505 Penobscot Drive  
Redwood City, California 94063

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3. Nature of conveyance: ☒ Assignment  
☐ Merger ☐ Security Agreement ☐ Other  
☐ Change of Name ☐ Reassignment

4. Date of execution: 9/12/01;  
8/27/01; 9/4/01; 9/11/01; 9/12/01;  
9/11/01; 9/12/01; 8/25/01; 9/14/01;  
9/13/01; 8/24/01; 8/21/01;  
respectively

Add'l names of receiving parties  
attached? ☐ Yes ☒ No

5. Application number(s) and/or patent number(s):  
If this document is being filed with a new application, the date of signature by the first  
named inventor was: \_\_\_\_\_

A. Patent Application No.(s)  
09/875,453 filed 06 June 2001

B. Patent No.(s)

Additional numbers attached: ☐ Yes ☒ No

6. Name and address of party to whom  
correspondence concerning document  
should be mailed:  
Perkins Coie LLP  
P. O. Box 2168  
Menlo Park, CA 94026  
(650) 838-4300

7. Total No. of applications and patents  
involved: one (1)

8. Total fee (37 CFR §3.41): \$40.00

☒ Charge Deposit Account No. 50-0665

9. Total number of pages, including  
cover sheet, attachments and  
document: 25

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10. Statement and signature:

To the best of my knowledge and belief, the foregoing information  
is true and correct and any attached copy is a true copy of the  
original document.

LeeAnn Gorthey  
Name of Person Signing

LeeAnn Gorthey  
Signature

9-21-01  
Date

INVENTORSHIP DECLARATION BY JOINT INVENTORS

I ~~THE~~ DECLARE THAT:

My residence, mailing address, and citizenship are stated next to my name in PART A on hereof.

I believe I am the original, first, and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled

PROMOTERS FOR REGULATED GENE EXPRESSION

the specification of which:

- ☐ is attached hereto.
- ☒ was filed on June 6, 2001 as Application Serial No. 09/875,453.

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate listed in PART B hereof and have also identified in PART B on hereof any foreign application for patent or inventor's certificate having a filing date before that of the application of which priority is claimed.

I hereby claim the benefit under Title 35, United States Code §119(e) and §120, of any United States application(s) listed in PART C hereof and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations §1.56 which occurred between the filing date of the prior application and any national or PCT international filing date of this application.

I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Please direct all telephone calls to Linda R. Judge at (650) 838-4404. Address all correspondence to:

Perkins Coie LLP  
P.O. Box 2168  
Menlo Park, CA 94026  
Customer No. 22918

**INVENTORSHIP DECLARATION BY JOINT INVENTORS**

**PART A: INVENTOR INFORMATION AND SIGNATURE**

**Full name of FIRST inventor:** Jungsuh P. Kim

Citizenship: U.S.A.

Mailing Address: 1844 Guinda Street  
Palo Alto, CA 94303

Residence (if different):

Inventor's Signature: Jungsuh P. Kim

Date: 9/12/01

**Full name of SECOND inventor:** Douglas B. Starr

Citizenship: U.S.A.

Mailing Address: 1197 Bonita Avenue  
Mountain View, CA 94040

Residence (if different):

Inventor's Signature: \_\_\_\_\_

Date: \_\_\_\_\_

**Full name of THIRD inventor:** Albert W. Tam

Citizenship: U.S.A.

Mailing Address: 1871 10th Avenue  
San Francisco, CA 94122

Residence (if different):

Inventor's Signature: \_\_\_\_\_

Date: \_\_\_\_\_

**Full name of FOURTH inventor:** Megan E. Laurance

Citizenship: U.S.A.

Mailing Address: 1020 Shotwell Street  
San Francisco, CA 94110

Residence (if different):

Inventor's Signature: \_\_\_\_\_

Date: \_\_\_\_\_

**Full name of FIFTH inventor:** Emil F. Michelotti

Citizenship: U.S.A.

Mailing Address: 1181 Marlin Avenue  
Foster City, CA 94404

Residence (if different):

Inventor's Signature: \_\_\_\_\_

Date: \_\_\_\_\_

**Full name of SIXTH inventor:** Mark D. Velligan

Citizenship: U.S.A.

Mailing Address: P. O. Box 370532  
Montara, CA 94037

Residence (if different):

Inventor's Signature: \_\_\_\_\_

Date: \_\_\_\_\_

**Full name of SEVENTH inventor:** Derek R. Latour

Citizenship: U.S.A.

Mailing Address: 1945 East Avenue  
Hayward, CA 94541

Residence (if different):

Inventor's Signature: \_\_\_\_\_

Date: \_\_\_\_\_

# **INVENTORSHIP DECLARATION BY JOINT INVENTORS**

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**Full name of FIRST inventor:** Jungsuh P. Kim

**Citizenship:** U.S.A.

**Mailing Address:** 1844 Guinda Street  
Palo Alto, CA 94303

**Residence (if different):**

**Inventor's Signature:** \_\_\_\_\_

**Date:** \_\_\_\_\_

**Full name of SECOND inventor:** Douglas B. Starr

**Citizenship:** U.S.A.

**Mailing Address:** 1197 Bonita Avenue  
Mountain View, CA 94040

**Residence (if different):**

**Inventor's Signature:** Douglas B. Starr

**Date:** 8-27-2001

**Full name of THIRD inventor:** Albert W. Tam

**Citizenship:** U.S.A.

**Mailing Address:** 1871 10th Avenue  
San Francisco, CA 94122

**Residence (if different):**

**Inventor's Signature:** \_\_\_\_\_

**Date:** \_\_\_\_\_

**Full name of FOURTH inventor:** Megan E. Laurance

**Citizenship:** U.S.A.

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**PART A: INVENTOR INFORMATION AND SIGNATURE**

Full name of FIRST inventor: Jungshuh P. Kim

Citizenship: U.S.A.

Mailing Address: 1844 Guinda Street  
Palo Alto, CA 94303

Residence (if different):

Inventor's Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Full name of SECOND inventor: Douglas B. Starr

Citizenship: U.S.A.

Mailing Address: 1197 Bonita Avenue  
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Date: \_\_\_\_\_

Full name of THIRD inventor: Albert W. Tam

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San Francisco, CA 94122

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Inventor's Signature: Albert W. Tam

Date: 9/4/01

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San Francisco, CA 94110

Residence (if different):

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Date: 9.11.01

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Citizenship: U.S.A.

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Foster City, CA 94404

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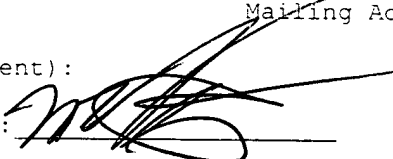
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Mailing Address: P. O. Box 370532  
Montara, CA 94037

Residence (if different):

Inventor's Signature:  \_\_\_\_\_

Date: 9/11/01

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Citizenship: U.S.A.

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Inventor's Signature: \_\_\_\_\_

Date: \_\_\_\_\_

**INVENTORSHIP DECLARATION BY JOINT INVENTORS**

**PART A: INVENTOR INFORMATION AND SIGNATURE**

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Citizenship: U.S.A.

Mailing Address: P. O. Box 370532  
Montara, CA 94037

Residence (if different):

Inventor's Signature: \_\_\_\_\_

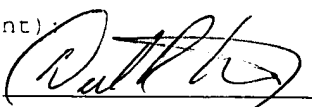
Date: \_\_\_\_\_

Full name of SEVENTH inventor: Derek R. Latour

Citizenship: U.S.A.

Mailing Address: 1945 East Avenue  
Hayward, CA 94541

Residence (if different):

Inventor's Signature: 

Date: 9/12/01

Full name of EIGHTH inventor: Rita L. Thomas

Citizenship: U.S.A.

Mailing Address: 1050 High Road 1061 Foxchase Dr.  
~~Woodside, CA 94062~~ San Jose, CA  
 95123

Residence (if different):

Inventor's Signature: Rita Thomas

Date: 8/25/01

Full name of NINTH inventor: Ana Kongpachith

Citizenship: Portugal

Mailing Address: 4469 Alvarado Blvd.  
Union City, CA 94587

Residence (if different):

Inventor's Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Full name of TENTH inventor: Liana T. Sheppard

Citizenship: U.S.A.

Mailing Address: 34 Cadiz Circle  
Redwood City, CA 94065

Residence (if different):

Inventor's Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Full name of ELEVENTH inventor: Moon Young Kim

Citizenship: U.S.A.

Mailing Address: 10 Lido Circle  
Redwood City, CA 94065

Residence (if different):

Inventor's Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Full name of TWELFTH inventor: Thomas W. Bruice

Citizenship: U.S.A.

Mailing Address: 6880 Shearwaters Drive  
Carlsbad, CA 92009

Residence (if different):

Inventor's Signature: \_\_\_\_\_

Date: \_\_\_\_\_

**INVENTORSHIP DECLARATION BY JOINT INVENTORS**

PART B: CLAIM TO PRIORITY OF FOREIGN APPLICATION(S) UNDER 35 U.S.C. 119(a-d)

Country	App. No.	Filing Date
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PART C: CLAIM TO PRIORITY OF U.S. PROVISIONAL AND NONPROVISIONAL APPLICATION(S) UNDER 35 U.S.C. 119(e) AND 120

Serial No.	Filing Date	Status
<u>60/209,549</u>	<u>06 June 2000</u>	<input checked="" type="checkbox"/> Abandoned

Full name of EIGHTH inventor: Rita L. ThomasCitizenship: U.S.A.Mailing Address: 1050 High Road  
Woodside, CA 94062

Residence (if different):

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Full name of NINTH inventor: Ana KongpachithCitizenship: PortugalMailing Address: 4469 Alvarado Blvd.  
Union City, CA 94587

Residence (if different):

Inventor's Signature: Ana Kongpachith Date: 9/14/01Full name of TENTH inventor: Liana T. SheppardCitizenship: U.S.A.Mailing Address: 34 Cadiz Circle  
Redwood City, CA 94065

Residence (if different):

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Full name of ELEVENTH inventor: Moon Young KimCitizenship: U.S.A.Mailing Address: 10 Lido Circle  
Redwood City, CA 94065

Residence (if different):

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Full name of TWELFTH inventor: Thomas W. BruiceCitizenship: U.S.A.Mailing Address: 6880 Shearwaters Drive  
Carlsbad, CA 92009

Residence (if different):

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**INVENTORSHIP DECLARATION BY JOINT INVENTORS**PART B: CLAIM TO PRIORITY OF FOREIGN APPLICATION(S) UNDER 35 U.S.C. 119(a-d)

Country

App. No.

Filing Date

PART C: CLAIM TO PRIORITY OF U.S. PROVISIONAL AND NONPROVISIONAL APPLICATION(S) UNDER 35 U.S.C. 119(e) AND 120

Serial No.

Filing Date

Status

60/209,54906 June 2000☒

Abandoned

Full name of EIGHTH inventor: Rita L. Thomas

Citizenship: U.S.A.

Mailing Address: 1050 High Road  
Woodside, CA 94062

Residence (if different):

Inventor's Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Full name of NINTH inventor: Ana Kongpachith

Citizenship: Portugal

Mailing Address: 4469 Alvarado Blvd.  
Union City, CA 94587

Residence (if different):

Inventor's Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Full name of TENTH inventor: Liana T. Sheppard

Citizenship: U.S.A.

Mailing Address: 38 Barcelona Circle  
34 Cadiz Circle & T.S.  
Redwood City, CA 94065 9/13/01

Residence (if different):

Inventor's Signature: Liana T. Sheppard Date: 9/13/01

Full name of ELEVENTH inventor: Moon Young Kim

Citizenship: U.S.A.

Mailing Address: 10 Lido Circle  
Redwood City, CA 94065

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**INVENTORSHIP DECLARATION BY JOINT INVENTORS**

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Serial No.	Filing Date	Status
<u>60/209,549</u>	<u>06 June 2000</u>	<input checked="" type="checkbox"/> Abandoned

Full name of EIGHTH inventor: Rita L. ThomasCitizenship: U.S.A.Mailing Address: 1050 High Road  
Woodside, CA 94062

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Full name of ELEVENTH inventor: Moon Young KimCitizenship: U.S.A.Mailing Address: 10 Lido Circle  
Redwood City, CA 94065

Residence (if different):

Inventor's Signature: Moon Young KimDate: 8/24/01Full name of TWELFTH inventor: Thomas W. BruiceCitizenship: U.S.A.Mailing Address: 6880 Shearwaters Drive  
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UNDER 35 U.S.C. 119(e) AND 120

Serial No.

Filing Date

Status

60/209,54906 June 2000☒

Abandoned

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Full name of TENTH inventor: Liana T. Sheppard

Citizenship: U.S.A.

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Residence (if different):

Inventor's Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Full name of ELEVENTH inventor: Moon Young Kim

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Inventor's Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Full name of TWELFTH inventor: Thomas W. Bruce

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Residence (if different):

Inventor's Signature: Thomas W. B.

Date: 08/21/01

**INVENTORSHIP DECLARATION BY JOINT INVENTORS**

**PART B: CLAIM TO PRIORITY OF FOREIGN APPLICATION(S) UNDER 35 U.S.C. 119(a-d)**

Country	App. No.	Filing Date
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**PART C: CLAIM TO PRIORITY OF U.S. PROVISIONAL AND NONPROVISIONAL APPLICATION(S) UNDER 35 U.S.C. 119(e) AND 120**

Serial No.	Filing Date	Status
<u>60/209,549</u>	<u>06 June 2000</u>	<input checked="" type="checkbox"/> Abandoned